


<p style="text-align: center;">UTILITY PATENT APPLICATION TRANSMITTAL</p> <p><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i></p>	Attorney Docket No.		9528-007-27 DIV
	First Inventor or Application Identifier		STEPHEN W. DOWNING
	Title	APPARATUSES AND METHODS FOR PERFORMING MINIMALLY INVASIVE DIAGNOSTIC AND SURGICAL PROCEDURES INSIDE OF A BEATING HEART	

<p style="text-align: center;">APPLICATION ELEMENTS</p> <p><i>See MPEP chapter 600 concerning utility patent application contents</i></p> <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status.</p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages 46</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 21</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages 3</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Newly executed (original or copy)</p> <p style="margin-left: 20px;">b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed)</p> <p>5. <input checked="" type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<p>ADDRESS TO: Assistant Commissioner for Patents Box Patent Application PO Box 1450 Alexandria, VA 22313-1450</p> <p style="text-align: center;">ACCOMPANYING DOCUMENTS</p> <p>6. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>7. <input checked="" type="checkbox"/> Copy from prior application Revocation of Power of Attorney and New Power of Attorney (1 page, executed)</p> <p>8. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>10. <input checked="" type="checkbox"/> Preliminary Amendment including attachment</p> <p>11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p> <p>12. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>13. <input type="checkbox"/> Request for Priority</p> <p>14. <input type="checkbox"/> List of Inventors' Names and Addresses</p> <p>15. <input type="checkbox"/> Other:</p>
<p>16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:</p> <p><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.:</p> <p>Prior application information: Examiner: Victor Nguyen Group Art Unit: 3731</p>	
<p>17. Amend the specification by inserting before the first line the sentence:</p> <p><input checked="" type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. 09/881,045 Filed on June 15, 2001 which claims benefit of U.S. Provisional Application No. 60/212,075, filed June 20, 2000.</p> <p><input type="checkbox"/> This application claims priority of provisional application Serial No. Filed</p>	
<p>18. CORRESPONDENCE ADDRESS</p> <p>Supervisor, Patent Prosecution Services PIPER RUDNICK LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085</p>	

Name	Steven B. Kelber	Registration No.		30,073	
Signature		Date	July 1, 2003	Telephone	202-861-3900
Name	Perry E. Van Over	Registration No.		42,197	

FEE TRANSMITTAL

Docket No.	9528-007-27 DIV
Serial No.	NEW DIVISIONAL APPLICATION
Filing Date	HEREWITH
Inventor(s)	STEPHEN W. DOWNING
Group Art Unit	UNASSIGNED
Examiner	UNASSIGNED

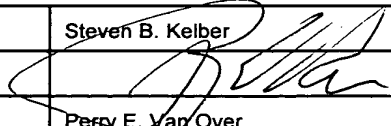
TOTAL AMOUNT OF PAYMENT \$375.00

1. ☒ Applicant claims small entity status.
- ☒ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

2. <input checked="" type="checkbox"/> Check enclosed.						Large Entity		Small Entity		Fee Description		Fee Paid		
Fee Code	Fee (\$)	Fee Code	Fee (\$)			Fee Code	Fee (\$)							
FEE CALCULATION						1051	130	2051	65	Surcharge-late filing fee or oath				
1. BASIC FILING FEE						1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet				
Large Entity		Small Entity		Fee Description		1053	130	1053	130	Non-English specification				
Fee Code	Fee (\$)	Fee Code	Fee (\$)			1812	2520	1812	2520	Ex parte reexam. fee				
1001	750	2001	375	Utility filing fee		1251	110	2251	55	1-mo. ext. of time				
1002	330	2002	165	Design filing fee		1252	410	2252	205	2-mo. ext. of time				
1003	520	2003	260	Plant filing fee		1253	930	2253	465	3-mo. ext. of time				
1004	750	2004	375	Reissue filing fee		1254	1450	2254	725	4-mo. ext. of time				
1005	160	2005	80	Provisional filing fee		1255	1970	2255	985	5-mo. ext. of time				
SUBTOTAL (1)						1401	320	2401	160	Notice of Appeal				
2. EXTRA CLAIM FEES						1402	320	2402	160	Appeal Brief				
tot. claims	15	-	20*	=	0	x	\$9	=	0	1403	280	2403	140	Request for Oral Hearing
ind. claims	3	-	3*	=	0	x	\$42	=	0	1501	1300	2501	650	Utility/Reissue Issue Fee
<input type="checkbox"/> Multiple Dependent Claims						\$140	=			1502	470	2502	235	Design Issue Fee
Large Entity		Small Entity		Fee Description		1503	630	2503	315	Plant Issue Fee				
Fee Code	Fee (\$)	Fee Code	Fee (\$)			1460	130	1460	130	Petitions to the Commissioner				
1202	18	2202	9	Claims in excess of 20		1806	180	1806	180	IDS Submission				
1201	84	2201	42	Independent claims in excess of 3		8021	40	8021	40	Assignment				
1203	280	2203	140	Multiple dependent claim, if not paid		1801	750	2801	375	For Filing RCE				
1204	84	2204	42	*Reissue independent claims over original patent		1802	900	1802	900	Expedited Design				
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent		OTHER (indicate below):								
SUBTOTAL (2)						\$0.00								
* or number previously paid, if greater; For Reissues, see above						SUBTOTAL (3)								\$0.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	Aug 1, 2003
Name	Perry E. Van Over	Registration No.	42,197
Telephone	202-861-3900		